

---

|            |  |                                    |
|------------|--|------------------------------------|
| Stream:    | Internet Engineering Task Force (IETF) |                                    |
| RFC:       | <a href="#">9766</a>                   |                                    |
| Category:  | Standards Track                        |                                    |
| Published: | April 2025                             |                                    |
| ISSN:      | 2070-1721                              |                                    |
| Authors:   | T. Haynes<br><i>Hammerspace</i>        | T. Myklebust<br><i>Hammerspace</i> |

# RFC 9766

## Extensions for Weak Cache Consistency in NFSv4.2's Flexible File Layout

---

### Abstract

This document specifies extensions to NFSv4.2 for improving Weak Cache Consistency (WCC). These extensions introduce mechanisms that ensure partial writes performed under a Parallel NFS (pNFS) layout remain coherent and correctly tracked. The solution addresses concurrency and data integrity concerns that may arise when multiple clients write to the same file through separate data servers. By defining additional interactions among clients, metadata servers, and data servers, this specification enhances the reliability of NFSv4 in parallel-access environments and ensures consistency across diverse deployment scenarios.

### Status of This Memo

This is an Internet Standards Track document.

This document is a product of the Internet Engineering Task Force (IETF). It represents the consensus of the IETF community. It has received public review and has been approved for publication by the Internet Engineering Steering Group (IESG). Further information on Internet Standards is available in Section 2 of RFC 7841.

Information about the current status of this document, any errata, and how to provide feedback on it may be obtained at <https://www.rfc-editor.org/info/rfc9766>.

### Copyright Notice

Copyright (c) 2025 IETF Trust and the persons identified as the document authors. All rights reserved.

This document is subject to BCP 78 and the IETF Trust's Legal Provisions Relating to IETF Documents (<https://trustee.ietf.org/license-info>) in effect on the date of publication of this document. Please review these documents carefully, as they describe your rights and restrictions

with respect to this document. Code Components extracted from this document must include Revised BSD License text as described in Section 4.e of the Trust Legal Provisions and are provided without warranty as described in the Revised BSD License.

## Table of Contents

|   |    |
|---|----|
| 1. Introduction   | 3  |
| 1.1. Definitions  | 3  |
| 1.2. Requirements Language                                  | 3  |
| 2. Weak Cache Consistency (WCC)                             | 4  |
| 3. Operation 77: LAYOUT_WCC - Layout Weak Cache Consistency | 5  |
| 3.1. ARGUMENT   | 5  |
| 3.2. RESULT   | 5  |
| 3.3. DESCRIPTION  | 6  |
| 3.4. Implementation   | 6  |
| 3.4.1. Examples of When to Use LAYOUT_WCC                   | 6  |
| 3.4.2. Examples of What to Send in LAYOUT_WCC               | 7  |
| 3.5. Allowed Errors   | 7  |
| 3.6. Extension of Existing Implementations                  | 8  |
| 3.7. Flexible File Layout Type                              | 8  |
| 4. Extraction of XDR  | 9  |
| 5. Security Considerations                                  | 10 |
| 6. IANA Considerations                                      | 10 |
| 7. References   | 10 |
| 7.1. Normative References                                   | 10 |
| 7.2. Informative References                                 | 11 |
| Acknowledgments   | 11 |
| Authors' Addresses  | 11 |

## 1. Introduction

In the Parallel NFS (pNFS) flexible file layout (see [\[RFC8435\]](#)), there is no mechanism for the data servers to update the metadata servers when the data portion of the file is modified. The metadata server needs this knowledge to correspondingly update the metadata portion of the file. If the client is using NFSv3 as the protocol with the data server, it can leverage Weak Cache Consistency (WCC) to update the metadata server of the attribute changes. In this document, we introduce a new operation called LAYOUT\_WCC to NFSv4.2, which allows the client to periodically report the attributes of the data files to the metadata server.

Using the process detailed in [\[RFC8178\]](#), the revisions in this document become an extension of NFSv4.2 [\[RFC7862\]](#). They are built on top of the External Data Representation (XDR) [\[RFC4506\]](#) generated from [\[RFC7863\]](#).

### 1.1. Definitions

For a more comprehensive set of definitions, see [Section 1.1](#) of [\[RFC8435\]](#).

(file) data: that part of the file system object that contains the data to be read or written. It is the contents of the object rather than the attributes of the object.

data server (DS): a pNFS server that provides the file's data when the file system object is accessed over a file-based protocol.

(file) metadata: the part of the file system object that contains various descriptive data relevant to the file object, as opposed to the file data itself. This could include the time of last modification, access time, EOF position, etc.

metadata server (MDS): the pNFS server that provides metadata information for a file system object.

storage device: the target to which clients may direct I/O requests when they hold an appropriate layout. Note that each data server is a storage device but that some storage device are not data servers. (See [Section 2.1](#) of [\[RFC8434\]](#) for a discussion on the difference between a data server and a storage device.)

weak cache consistency (WCC): the mechanism in NFSv3 that allows the client to check for file attribute changes before and after an operation (see [Section 2.6](#) of [\[RFC1813\]](#)).

### 1.2. Requirements Language

The key words "MUST", "MUST NOT", "REQUIRED", "SHALL", "SHALL NOT", "SHOULD", "SHOULD NOT", "RECOMMENDED", "NOT RECOMMENDED", "MAY", and "OPTIONAL" in this document are to be interpreted as described in BCP 14 [\[RFC2119\]](#) [\[RFC8174\]](#) when, and only when, they appear in all capitals, as shown here.

## 2. Weak Cache Consistency (WCC)

A pNFS layout type enables the metadata server to inform the client of both the storage protocol and the locations of the data that the client should use when communicating with the storage devices. The flexible file layout type, as specified in [\[RFC8435\]](#), describes how data servers using NFSv3 can be accessed. The client is restricted to performing the following NFSv3 operations on the filehandles provided in the layout: READ, WRITE, and COMMIT (see Sections [3.3.6](#), [3.3.7](#), and [3.3.21](#) of [\[RFC1813\]](#), respectively). In other words, the client may only use NFSv3 operations that act directly on the data portion of the file.

Because there is no control protocol (see [\[RFC8434\]](#)) possible with all data servers, NFSv3 is used as the control protocol. As such, the following NFSv3 operations are commonly used by the metadata server: CREATE, GETATTR, and SETATTR (see Sections [3.3.8](#), [3.3.1](#), and [3.3.2](#) of [\[RFC1813\]](#), respectively). That is, the metadata server is only allowed to use NFSv3 operations that directly act on the metadata portion of the data file. GETATTR allows the metadata server to mainly retrieve the mtime (modify time), ctime (change time), and atime (access time). The metadata server can use this information to determine if the client modified the file whilst it held an iomode of LAYOUTIOMODE4\_RW (see [Section 3.3.20](#) of [\[RFC8881\]](#)). Then it can determine the following for the metadata file: time\_modify, time\_metadata, and time\_access (see Sections [5.8.2.43](#), [5.8.2.42](#), and [5.8.2.37](#) of [\[RFC8881\]](#), respectively). That is, it can determine the information to return to clients in an NFSv4.2 GETATTR response.

For example, the metadata server might issue an NFSv3 GETATTR operation to the data server, which is typically triggered by a client's NFSv4 GETATTR request to the metadata server. In addition to the cost of each individual GETATTR operation, the data server can be overwhelmed by a large volume of such requests. NFSv3 addressed a similar challenge by including a post-operation attribute in the READ and WRITE operations to report WCC data (see [Section 2.6](#) of [\[RFC1813\]](#)).

Each NFSv3 operation entails a single round trip between the client and server. Consequently, issuing a WRITE followed by a GETATTR would require two round trips. In that situation, the retrieved attribute information is regarded as having strict server-client consistency. By contrast, NFSv4 enables a WRITE and GETATTR to be combined within a compound operation, which requires only one round trip. This combined approach is likewise considered to have strict server-client consistency. Essentially, NFSv4 READ and WRITE operations omit post-operation attributes, allowing the client to determine whether it requires that information.

Whilst NFSv4 got rid of the requirement for WCC information to be supplied by the WRITE or READ operations, the introduction of pNFS reintroduces the same problem. The metadata server has to communicate with the data server in order to get the data that could be provided by a WCC model.

With the flexible file layout type, the client can leverage the NFSv3 WCC to service the proxying of times (see [Section 5](#) of [\[RFC9754\]](#)), but the granularity of this data is limited. With client-side mirroring (see [Section 8](#) of [\[RFC8435\]](#)), the client has to aggregate the N mirrored files in order to send one piece of information instead of N pieces of information. Also, the client is limited to sending that information only when it returns the delegation.

This document introduces a new NFSv4.2 operation, `LAYOUT_WCC`, which enables the client to provide the metadata server with information obtained from the data server. The client is responsible for gathering the NFSv3 WCC data, returned by the three permissible NFSv3 operations, and conveying it back to the metadata server as part of NFSv4.2 attributes. The metadata server **MAY** therefore avoid issuing costly NFSv3 `GETATTR` calls to the data servers. Because this approach relies on a weak model, the metadata server **MAY** still perform these calls if it chooses to strengthen the model.

### 3. Operation 77: `LAYOUT_WCC` - Layout Weak Cache Consistency

#### 3.1. ARGUMENT

```
<CODE BEGINS>
/// struct LAYOUT_WCC4args {
///     stateid4      lowa_stateid;
///     layouttype4   lowa_type;
///     opaque        lowa_body<>;
/// };
<CODE ENDS>
```

`stateid4` is defined in [Section 3.3.12](#) of [\[RFC8881\]](#). `layouttype4` is defined in [Section 3.3.13](#) of [\[RFC8881\]](#).

#### 3.2. RESULT

```
<CODE BEGINS>
/// struct LAYOUT_WCC4res {
///     nfsstat4      lowr_status;
/// };
<CODE ENDS>
```

`nfsstat4` is defined in [Section 3.2](#) of [\[RFC8881\]](#).

### 3.3. DESCRIPTION

The current filehandle and the `lowa_stateid` identify the specific layout for the `LAYOUT_WCC` operation. The `lowa_type` indicates how to interpret the layout-type-specific payload contained in the `lowa_body` field. The `lowa_type` is the corresponding value from the "pNFS Layout Types" IANA registry for the layout type being used.

The `lowa_body` contains the data file attributes. The client is responsible for mapping NFSv3 post-operation attributes to the `fattr4` representation. Similar to the behavior of post-operation attributes, the client may ignore these attributes, and the server may also choose to ignore any attributes included in `LAYOUT_WCC`. However, the server can use these attributes to avoid querying the data server for data file attributes. Because these attributes are optional and the client has no recourse if the server opts to disregard them, there is no requirement to return a `bitmap4` indicating which attributes have been accepted in the `LAYOUT_WCC` result.

### 3.4. Implementation

#### 3.4.1. Examples of When to Use `LAYOUT_WCC`

The only way for the metadata server to detect modifications to the data file is to probe the data servers via a `GETATTR`. It can compare the `mtime` results across multiple calls to detect an NFSv3 `WRITE` operation by the client. Likewise, the `atime` results indicate the client having issued an NFSv3 `READ` operation. As such, the client can leverage the `LAYOUT_WCC` operation whenever it has the belief that the metadata server would need to refresh the attributes of the data files. While the client can send a `LAYOUT_WCC` at any time, there are times it will want to do this operation in order to avoid having the metadata server issue NFSv3 `GETATTR` requests to the data servers:

- Whenever it sends a `GETATTR` for any of the following attributes:
  - `size` (see [Section 5.8.1.5](#) of [\[RFC8881\]](#))
  - `space_used` (see [Section 5.8.2.35](#) of [\[RFC8881\]](#))
  - `change` (see [Section 5.8.1.4](#) of [\[RFC8881\]](#))
  - `time_access` (see [Section 5.8.2.37](#) of [\[RFC8881\]](#))
  - `time_metadata` (see [Section 5.8.2.42](#) of [\[RFC8881\]](#))
  - `time_modify` (see [Section 5.8.2.43](#) of [\[RFC8881\]](#))
- Whenever it sends an `NFS4ERR_ACCESS` error via `LAYOUTRETURN` or `LAYOUTERROR`. It could have already gotten the NFSv3 `uid` and `gid` values back in the WCC of the `WRITE`, `READ`, or `COMMIT` operation that got the error. Thus, it could report that information back to the metadata server, saving it from querying that information via an NFSv3 `GETATTR`.
- Whenever it sends a `SETATTR` to refresh the proxied times (see [Section 5](#) of [\[RFC9754\]](#)). The metadata server will correlate these times in order to detect later modification to the data file.

### 3.4.2. Examples of What to Send in LAYOUT\_WCC

The NFSv3 attributes returned in the WCC of WRITE, READ, and COMMIT operations are a smaller subset of what can be transmitted as an NFSv4 attribute. The mapping of NFSv3 to NFSv4 attributes is shown in [Table 1](#). The LAYOUT\_WCC **MUST** provide all of these attributes to the metadata server. Both the uid and gid are stringified into their respective attributes of owner and owner\_group. In the case of NFS4ERR\_ACCESS, the reason to provide these two attributes is that the metadata server can compare what it expects the values of the uid and gid of the data file to be versus the actual values. It can then repair the permissions as needed or modify the expected values it has cached.

| NFSv3 Attribute | NFSv4.2 Attribute |
|-----------------|-------------------|
| size            | size              |
| used            | space_used        |
| mode            | mode              |
| uid             | owner             |
| gid             | owner_group       |
| atime           | time_access       |
| mtime           | time_modify       |
| ctime           | time_metadata     |

*Table 1: NFSv3 to NFSv4.2 Attribute Mappings*

## 3.5. Allowed Errors

The LAYOUT\_WCC operation can raise the errors listed in [Table 2](#). When an error is encountered, the metadata server can decide to ignore the entire operation, or depending on the layout-type-specific payload, it could decide to apply a portion of the payload. Note that there are no new errors introduced for the LAYOUT\_WCC operation and the errors in [Table 2](#) are each defined in [Section 15.1](#) of [\[RFC8881\]](#). [Table 2](#) can be considered as an extension of [Section 15.2](#) of [\[RFC8881\]](#).

| Operation  | Errors   |
|------------|--|
| LAYOUT_WCC | NFS4ERR_ADMIN_REVOKED, NFS4ERR_BADXDR, NFS4ERR_BAD_STATEID, NFS4ERR_DEADSESSION, NFS4ERR_DELAY, NFS4ERR_DELEG_REVOKED, NFS4ERR_EXPIRED, NFS4ERR_FHEXPIRED, NFS4ERR_GRACE, NFS4ERR_INVAL, NFS4ERR_ISDIR, NFS4ERR_MOVED, NFS4ERR_NOFILEHANDLE, NFS4ERR_NOTSUPP, NFS4ERR_NO_GRACE, NFS4ERR_OLD_STATEID, NFS4ERR_OP_NOT_IN_SESSION, NFS4ERR_REP_TOO_BIG, NFS4ERR_REP_TOO_BIG_TO_CACHE, NFS4ERR_REQ_TOO_BIG, NFS4ERR_RETRY_UNCACHED_REP, NFS4ERR_SERVERFAULT, NFS4ERR_STALE, NFS4ERR_TOO_MANY_OPS, NFS4ERR_UNKNOWN_LAYOUTTYPE, NFS4ERR_WRONG_CRED, NFS4ERR_WRONG_TYPE |

Table 2: Operations and Their Valid Errors

### 3.6. Extension of Existing Implementations

The new LAYOUT\_WCC operation is **OPTIONAL** for both NFSv4.2 [RFC7863] and the flexible file layout type [RFC8435].

### 3.7. Flexible File Layout Type

```
<CODE BEGINS>
/// struct ff_data_server_wcc4 {
///     deviceid4           ffdsw_deviceid;
///     stateid4            ffdsw_stateid;
///     nfs_fh4              ffdsw_fh_vers<>;
///     fattr4               ffdsw_attributes;
/// };
///
/// struct ff_mirror_wcc4 {
///     ff_data_server_wcc4  ffdsw_data_servers<>;
/// };
///
/// struct ff_layout_wcc4 {
///     ff_mirror_wcc4       ffdsw_mirrors<>;
/// };
<CODE ENDS>
```

The results specific to the flexible file layout type **MUST** correspond to the ff\_layout4 data structure as defined in Section 5.1 of [RFC8435]. There **MUST** be a one-to-one correspondence between the following:

- ff\_data\_server4 -> ff\_data\_server\_wcc4
- ff\_mirror4 -> ff\_mirror\_wcc4
- ff\_layout4 -> ff\_layout\_wcc4



Each `ff_layout4` has an array of `ff_mirror4`, which has an array of `ff_data_server4`. Based on the current filehandle and the `lowa_stateid`, the server can match the reported attributes.

But the positional correspondence between the elements is not sufficient to determine the attributes to update. Consider the case where a layout has three mirrors and two of them have updated attributes but the third does not. A client could decide to present all three mirrors, with one mirror having an attribute mask with no attributes present. Or it could decide to present only the two mirrors that had been changed.

In either case, the combination of `ffds_w_deviceid`, `ffds_w_stateid`, and `ffds_w_fh_vers` will uniquely identify the attributes to be updated. All three arguments are required. A layout might have multiple data files on the same storage device, in which case the `ffds_w_deviceid` and `ffds_w_stateid` would match, but the `ffds_w_fh_vers` would not.

The `ffds_w_attributes` are processed similar to the `obj_attributes` in the `SETATTR` arguments (see [Section 18.30](#) of [\[RFC8881\]](#)).

## 4. Extraction of XDR

This document contains the XDR [\[RFC4506\]](#) description of the new NFSv4.2 operation `LAYOUT_WCC`. The XDR description is embedded in this document in a way that makes it simple for the reader to extract into a ready-to-compile form. The reader can feed this document into the following shell script to produce the machine-readable XDR description of the new NFSv4.2 operation `LAYOUT_WCC`.

```
<CODE BEGINS>
#!/bin/sh
grep '^ *///' $* | sed 's?^ */// ??' | sed 's?^ *///$??'
<CODE ENDS>
```

That is, if the above script is stored in a file called 'extract.sh', and this document is in a file called 'spec.txt', then the reader can do:

```
<CODE BEGINS>
sh extract.sh < spec.txt > layout_wcc.x
<CODE ENDS>
```

The effect of the script is to remove leading blank space from each line, plus a sentinel sequence of '///'. XDR descriptions with the sentinel sequence are embedded throughout the document.

Note that the XDR code contained in this document depends on types from the NFSv4.2 `nfs4_prot.x` file (generated from [\[RFC7863\]](#)). This includes both `nfs` types that end with a 4 (such as `offset4` and `length4`) as well as more generic types (such as `uint32_t` and `uint64_t`).

While the XDR can be appended to that from [RFC7863], the various code snippets belong in their respective areas of that XDR.

## 5. Security Considerations

There are no new security considerations beyond those in [RFC8435].

## 6. IANA Considerations

This document has no IANA actions.

## 7. References

### 7.1. Normative References

- [RFC2119] Bradner, S., "Key words for use in RFCs to Indicate Requirement Levels", BCP 14, RFC 2119, DOI 10.17487/RFC2119, March 1997, <<https://www.rfc-editor.org/info/rfc2119>>.
- [RFC4506] Eisler, M., Ed., "XDR: External Data Representation Standard", STD 67, RFC 4506, DOI 10.17487/RFC4506, May 2006, <<https://www.rfc-editor.org/info/rfc4506>>.
- [RFC7862] Haynes, T., "Network File System (NFS) Version 4 Minor Version 2 Protocol", RFC 7862, DOI 10.17487/RFC7862, November 2016, <<https://www.rfc-editor.org/info/rfc7862>>.
- [RFC7863] Haynes, T., "Network File System (NFS) Version 4 Minor Version 2 External Data Representation Standard (XDR) Description", RFC 7863, DOI 10.17487/RFC7863, November 2016, <<https://www.rfc-editor.org/info/rfc7863>>.
- [RFC8174] Leiba, B., "Ambiguity of Uppercase vs Lowercase in RFC 2119 Key Words", BCP 14, RFC 8174, DOI 10.17487/RFC8174, May 2017, <<https://www.rfc-editor.org/info/rfc8174>>.
- [RFC8178] Noveck, D., "Rules for NFSv4 Extensions and Minor Versions", RFC 8178, DOI 10.17487/RFC8178, July 2017, <<https://www.rfc-editor.org/info/rfc8178>>.
- [RFC8434] Haynes, T., "Requirements for Parallel NFS (pNFS) Layout Types", RFC 8434, DOI 10.17487/RFC8434, August 2018, <<https://www.rfc-editor.org/info/rfc8434>>.
- [RFC8435] Halevy, B. and T. Haynes, "Parallel NFS (pNFS) Flexible File Layout", RFC 8435, DOI 10.17487/RFC8435, August 2018, <<https://www.rfc-editor.org/info/rfc8435>>.
- [RFC8881] Noveck, D., Ed. and C. Lever, "Network File System (NFS) Version 4 Minor Version 1 Protocol", RFC 8881, DOI 10.17487/RFC8881, August 2020, <<https://www.rfc-editor.org/info/rfc8881>>.

**[RFC9754]** Haynes, T. and T. Myklebust, "Extensions for Opening and Delegating Files in NFSv4.2", RFC 9754, DOI 10.17487/RFC9754, March 2025, <<https://www.rfc-editor.org/info/rfc9754>>.

## 7.2. Informative References

**[RFC1813]** Callaghan, B., Pawlowski, B., and P. Staubach, "NFS Version 3 Protocol Specification", RFC 1813, DOI 10.17487/RFC1813, June 1995, <<https://www.rfc-editor.org/info/rfc1813>>.

## Acknowledgments

Dave Noveck, Tigran Mkrtchyan, and Rick Macklem provided reviews of the document.

## Authors' Addresses

**Thomas Haynes**

Hammerspace

Email: [loghyr@gmail.com](mailto:loghyr@gmail.com)

**Trond Myklebust**

Hammerspace

Email: [trondmy@hammerspace.com](mailto:trondmy@hammerspace.com)